# Design-for-Test for Silicon Photonic Circuits

Pratishtha Agnihotri, Priyank Kalla, Steve Blair
Electrical & Computer Engineering
University of Utah, Salt Lake City, UT, USA
pratishtha.agnihotri@utah.edu, kalla@ece.utah.edu, blair@ece.utah.edu

Abstract—This paper proposes a design-for-test (DFT) methodology and architecture for testing and validation of silicon photonic integrated circuits. We describe the design of silicon photonic circuits and components that comprise the proposed DFT architecture. The designs are extensively simulated and validated as test-access and fault-detection circuitry. We demonstrate how the DFT approach can be deployed on photonic integrated circuits and how they can be tested for correct operation, in terms of signal power and phase. The application is demonstrated on two distinct types of designs — an optical neural network comprising optical devices in a feed-forward topology, and on a optical logic circuit with feedback loops.

Index Terms—Silicon photonics, design-for-test, Mach-Zehnder interferometer, directional coupler, phase modulation, test access point

#### I. Introduction

The field of silicon photonics has seen a marked increase in both research endeavors and commercial ventures. This is largely driven by notable improvements in the efficacy of photonic elements, and the increase in scale and complexity of photonic integration over the last decade. Silicon photonics is particularly well-suited for applications necessitating high-bandwidth, cost-effective, and long-range interconnect capabilities. This trend is further underscored by the exponential expansion of data within the digital economy, driving innovations across computing, storage, and networking technologies [1], [2], [3].

The adoption of silicon for photonics is underpinned by several pivotal factors, with a notable emphasis on the high refractive index contrast between silicon and silicon oxide, which allows strong light confinement and a compact waveguide footprint in the silicon-on-insulator (SOI) layer. Moreover, the availability of high-speed modulation effected by carrier injection or extraction makes silicon photonics appealing for embedding switching elements in the routing/communication fabrics, thus bringing a convergence of computation and communication – in applications such as optical neural networks [4], optical logic [5], etc. These advantageous characteristics are further benefited by established manufacturing processes inherent to the CMOS ecosystem, which can be easily retooled and employed for silicon PIC fabrication [6], [7].

Along with the advantages, Si-photonics also brings about some challenges. The significant material contrast inherent in silicon photonic circuits, however, renders them highly susceptible to even minor discrepancies in fabricated geometries, notably in the dimensions such as width or thickness of submicron silicon waveguides. This vulnerability becomes increasingly problematic with the increasing circuit complexity, as variations propagate and build up throughout the circuit. Such deviations affect both power and phase of the optical signals, cause faulty interference patterns, and inevitably result in performance deterioration and poor yield [8], [9], [10], [11].

There is a need for test automation in the silicon photonics ecosystem to effectively test wafers, chips and components in the optoelectronic domain. A dedicated *test circuit* embedded on the chip is imperative for real-time data collection and analysis, detection of failure, and also crucial for postfabrication tuning/calibration of photonic integrated circuits (PICs). Such a *design-for-test* (DFT) circuitry, along with associated automatic test pattern generation (ATPG) techniques, may yield numerous advantages, including but not limited to time and cost reduction in testing, enhanced testing coverage and reliability, as well as improved scalability and yield for silicon PICs.

Contributions: In this paper, we present a design-for-test (DFT) architecture that can be employed on a silicon PIC to simplify its testing for proper operation. In support of our DFT architecture, we describe the design of silicon photonic circuits and components that act as test-access and fault-detection circuitry. We show how these circuits can be DFT-inserted at test access points, and show how they can be employed for fault/failure detection. We describe their design and implementation, and validate their proper operation using extensive simulations and experiments.

The manipulation of optical signals in PICs is accomplished by employing on-chip optical components like waveguides, couplers, electro-optic modulators, photodetectors, lasers, etc. [12]. To deal with optical signals on-chip, the testing circuit must also be constructed using such devices. Among these devices, a Mach-Zehnder Interferometer (MZI) stands out due to its high tolerance to temperature variations and modulation capacity. MZIs can be designed either as  $1 \times 1$  input-output (switching) devices using a Y-splitter and a Y-combiner, or as  $2\times2$  input-output cross-bar switches using waveguide couplers and phase modulators. We propose an MZI-based architecture for the testing circuit. Moreover, ours is a specification-based testing approach, testing signal power and phase against a reference. Since our DFT approach requires both a test and a reference signal, we opt for a  $2 \times 2$  MZI-based circuit. Moreover, as we use the MZI as a modulator device, we refer to it as Mach-Zehnder modulator (MZM) in the paper. Our MZM is specifically designed to access signals at test points,

and subsequently, a Y-combiner is used as a comparator for testing against a reference.

We demonstrate the application of our DFT circuit and test approach on two distinct PIC designs: i) On an optical neural network (ONN) chip design from [4]. Such designs are composed of MZIs, phase modulators/attenuators, waveguide splitters, etc., in a *feed-forward* interconnection topology. We show how a MZI in the ONN can be replaced by our DFT MZM and testing can be performed. ii) On an optical logic circuit from [5]. Such circuits also comprise MZIs, splitters, combiners, etc., however, the circuit topology incorporates signal feedback loops. We show how DFT insertion and testing can be performed for such designs with feedback loops to detect faulty behaviour.

To exploit the full potential of such a DFT methodology, automatic tools and techniques for test-point selection as well as ATPG (modeling signal power and phase) are also required. With the availability of such tools, the DFT methodology may also enable post-fabrication tuning of targeted devices. However, these test-point selection and ATPG techniques are part of future work, and are beyond the scope of this paper.

Paper Organization: The following section reviews previous work. Section III describes the proposed DFT architecture. Section IV describes the design, implementation and validation of the circuit components that comprise the DFT architecture. Section V describes the application of our DFT approach to PIC testing. Finally, Section VI concludes the paper.

#### II. PREVIOUS WORK

Several approaches have been suggested by researchers to automate testing in PICs. A notable effort in this direction is demonstrated by [13] for conducting wafer-scale testing of photonic circuits. This study employed the concept of electrically erasable optical input and output ports utilizing micro-heaters for post-fabrication trimming of Si PICs or programmable PICs.

On-chip power monitoring and calibration in the photonic integrated circuits (PICs) are also important aspect of testing a PIC. The paper [14] shows the integration of the photon-assisted tunneling detectors with an interferometry based phase-interrogator structure for on-chip phase monitoring.

A novel method of using probe card to electrically access and characterize the device, enabling the calibration and cloning of filter-based devices is presented in [15]. The power coming from the through port of the device-under-test is sensed by a photodetector, and this information is delivered to a micro-processor (P), that generates the signal to change the working point of the heaters (i.e., their driving voltage).

Silicon photonics technology platform requires continuous process optimization and design verification. To fulfill this need, a test station for semi-automatic wafer-level characterization of silicon photonics devices has been proposed in [16]. Several features such as fiber alignment, insertion loss etc. have been incorporated that are aimed at optimizing the accuracy and reproducibility of the measurement results.

The paper [17] proposed a layout versus schematic (LVS)

flow that addresses the particular need of curvilinear feature validation (curved path length and bend curvature extraction). The proposed LVS flow ensures more reliable photonic layout implementation.

While the impact of manufacturing uncertainties on PIC operation is well-known [18], there have been few attempts to develop defect and failure models [19] and analysis techniques to estimate the impact of manufacturing variations on PIC's performance [20]. In addition to testing for fabrication defects, PICs are also required to undergo *calibration (or tuning)* [21] [22] [23] so as to bring its performance within the tolerance limits of the specification. Despite some advancements [24] [25], this process is manual and tedious, lacks automation and incurs a high cost.

The methodologies and techniques explored in the above works predominantly focus on enhancing performance. However, there remains a gap in the research and development of design-for-test architectures to simplify testing, diagnosis and calibration of PICs, as well as test pattern generation to automate the testing process. To bridge this gap, we introduce a silicon-photonic DFT architecture, and demonstrate its application to fault detection in a PIC.

#### III. THE PROPOSED DFT ARCHITECTURE

The proposed DFT insertion and test automation approach is shown in Fig.1. A PIC with two circuit subsections A and B is used to explain the proposed technique. Consider circuit A, in the shown sample chip, as the optoelectronic circuit-undertest (CUT) and its output node, an optical debug point. The CUT has both optical (data) and electrical (control) signals. The output signal of circuit subsection A with amplitude  $S_T$ and phase  $\phi_T$  is the optical test signal. The test signal is fed into a power divider circuit. The power divider circuit is operated by an (electrical) control signal C. This circuit works under two modes such that under normal mode (say, C=0), the test signal is transmitted to the circuit subsection B. Under the test mode (say, C = 1), a certain percentage of  $S_T$  is fed into a DFT circuit. The test signal is now tested against a reference signal of amplitude  $S_R$  and phase  $\phi_R$ . The DFT circuit compares the two signals, and outputs whether  $S_T/\phi_T$  is equal to  $S_R/\phi_R$  or not, where equality implies that the circuit is fault-free. It is important to note that the DFT circuit is assumed to be either lossless or its loss characteristics

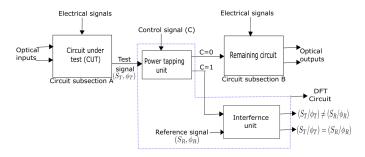


Figure 1: Proposed DFT architecture

are known. For simplicity, we also assume the proposed DFT circuit to be defect-free.

## IV. DESIGN OF THE DFT CIRCUITRY

The proposed DFT circuitry consists of a Mach-Zehnder modulator (MZM) for tapping power and a Y-combiner for comparing test signal with a reference signal. The MZM used in our architecture consists of directional couplers and a phase modulation stage.

A MZM is a conventional integrated optic device used not only for modulation, but also for routing through the use of coupling and controlled interference. Consider the MZM depicted in Fig. 2, with optical inputs P and Q, and outputs F and G. The waveguides have an index of refraction, n. Coupling arises when two waveguides are brought into close proximity, allowing the electromagnetic fields of one waveguide to extend over the other and vice versa. This interaction results in energy transfer between the waveguides, dependent on the coupling length. The couplers utilized in this device are 3dB couplers, finely tuned to evenly distribute or combine the signal from both inputs across the two outputs. In this configuration, the signal at (a) traverses through the 3dB coupler, dividing between outputs (b) and (c) due to coupling, inducing a phase shift of  $\pi/2$  in waveguide (c) (note that the phase of the coupled signal lags the original by  $\pi/2$ , as depicted).

In the center region, S represents an external input employed to modify the refractive index  $(\Delta n)$  of the upper waveguide. This adjustment is achieved through various methods/devices such as microheaters, carrier injection, advanced techniques like high-speed MOS-capacitors, or alternative approaches. This change in refractive index causes a path-length difference, and therefore a phase difference, between the signals in (b) and (d). This phase difference causes constructive or destructive interference at the second coupler when the signals from (c) and (d) are combined. While we have illustrated only one signal input at P, an input at Q functions similarly. However, its output reaches the opposite output compared to the input from P. The input applied at S may cause a phase difference in the range of 0 to  $\pi$ , routing the input either completely to one output, or distributing between two outputs or completely to the other output, as depicted in Figs. 3 - 5.

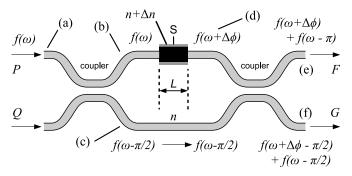


Figure 2: Mach-Zehnder modulator



Figure 3: Bar configuration



Figure 4: Intermediate (test) configuration



Figure 5: Cross configuration

In our DFT MZM, we employ a carrier injection based phase modulator at S to effect modulation in the device. Specific bias voltages at S are applied to effect various MZM configurations. We design the individual components (directional coupler, phase modulator, and Y-combiner) and assemble them into a MZM-based DFT circuit. All the components are designed and simulated using different suites of Ansys Lumerical [26] such as the Finite Difference Eigenmode (FDE) solver, Variational FDTD (varFDTD) solver, Finite-Difference Time-Domain (FDTD), CHARGE, and INTERCONNECT. The FDE solver calculates the mode field profiles, effective index, and loss. The varFDTD solver is a 2.5D variational FDTD solver that simulates the propagation of light in planar integrated optical systems. The FDTD is a simulation software that helps design, model and optimize photonic components. The Charge Transport (CHARGE) solver is a physics-based electrical simulation tool for semiconductor devices, which solves the system of equations describing the electrostatic potential (Poissons equation) and density of free carriers (the drift-diffusion equations). The INTERCONNECT simulates classical and quantum PICs while enabling the co-design and co-simulation of photonic and electronic circuits on multiple electronic design automation (EDA) platforms [26].

## A. Directional Coupler

We design a directional coupler as shown in Fig. 6. The input optical signal undergoes 100% coupling from one waveguide to the other waveguide as it propagates forward. The optical signal is fed at the upper input arm of the 2X2 directional coupler. As the signal propagates in the device, and arrives at the upper waveguide of the coupling section, it starts to couple into the lower waveguide. The distribution of signal in the upper and lower arms at the outputs depends on the gap, g, between the waveguides in the coupling section and the length of coupling section,  $L_c$ . By choosing different values of g, and  $L_c$ , it is possible to achieve any desired fraction of the optical signal at the outputs of the waveguides. For our DFT, we aim at designing a 3dB directional coupler.

The waveguide profile used in our experiments is shown in Fig. 7. The gap, g, is fixed at 200nm. The effective index of the initial mode,  $n_o = 2.323$ , is calculated using finite difference eigen (FDE) mode solver. Upon introducing the second waveguide, we now have two modes, approximately represented by the sum and the difference of the original waveguides, and have effective refractive indices,  $n_1 = n_o + n/2$  and  $n_2 = n_o - n/2$ , where n is the refractive index of silicon. We first calculate the coupling length by considering the difference

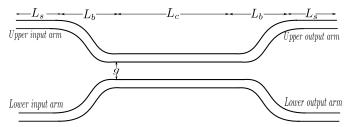


Figure 6: Directional coupler schematic

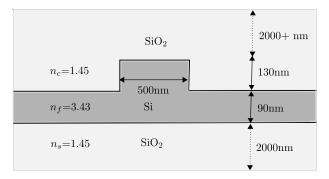


Figure 7: Waveguide profile

in effective refractive indices,  $\Delta n$ , between the two coupled modes, such that  $\Delta n = n_1 - n_2 = 2.592793 - 2.544243 = 0.04855$ . The coupling length,  $L_c = 15.96 \mu m$ , for a complete cross-over of optical signal is calculated as,

$$L_c = \frac{\lambda_o}{\pi \Delta n} sin^{-1} \left( \sqrt{\frac{P_{out}}{P_{in}}} \right), \tag{1}$$

where  $\lambda_o=1.55\mu m$  is the wavelength of light used,  $P_{out}$  and  $P_{in}$  are the power at the output and input arms respectively. The design and simulation of the directional coupler is performed in FDTD. The corresponding E-field distribution in the directional coupler is shown in Fig. 8. Here, we notice a small fraction of signal being reflected in the lower input arm. To avoid this loss of signal, waveguide bends are smoothed by increasing the length from  $L_s=3\mu m$  to  $L_s=4\mu m$ . The E-field distribution in the improved design is shown in Fig. 9.

As the coupling section ends and the waveguides move apart, the effective refractive index begins to change. As a result, a small fraction of the optical signal couples back into the upper output arm resulting in the change in signal

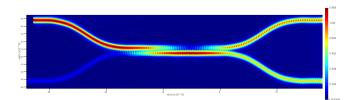


Figure 8: Complete cross-over of E-field in the directional coupler. The color scheme represents E-field amplitude on a scale of 0 to 1.

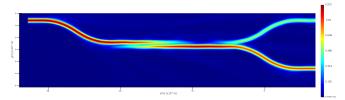


Figure 9: Complete cross-over of E-field without reflection in a directional coupler

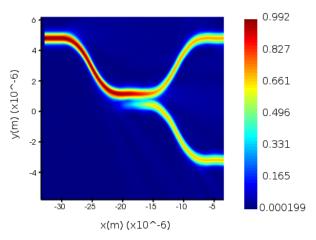


Figure 10: E-field distribution in a 3dB directional coupler

distribution at the upper and lower output arms. The output power measured at the lower and the upper output arms are 89% and 11% respectively. Keeping this phenomenon in mind, a 50:50 power distribution will happen at a different  $L_c$  rather than a conventionally calculated  $L_c/2$ . We perform a sweep on the length parameter,  $L_c$ , from  $3\mu m$  to  $5\mu m$ . The result shows a 48:48 power distribution at  $4.7\mu m$  as shown in Fig. 10. The s-parameters of the 3dB directional coupler are extracted to be imported into INTERCONNECT at a later stage.

#### B. Phase modulation

The phase modulation characteristics of the MZM are obtained by performing simulations in CHARGE and MODE solvers. According to the Drude expansion model, the refractive-index change,  $\Delta n$ , of crystalline Si produced by an applied electric field, E, or by a change in the concentration of charge carriers,  $\Delta N$ , is calculated as,

$$\Delta n = \frac{-(e^2 \lambda_o^2)}{8\pi^2 c^2 \epsilon_o n} \left[ \frac{\Delta N_e}{m_{ce}^*} + \frac{\Delta N_h}{m_{ch}^*} \right],\tag{2}$$

where e is the electronic charge, c is the speed of light,  $\epsilon_o$  is the permittivity of free space, n is the refractive index of unperturbed crystalline Si,  $\Delta N_e$  is the change in concentration of electrons,  $\Delta N_h$  is the change in concentration of holes,  $m_{ce}^*$  is the conductivity effective mass of electrons,  $m_{ch}^*$  is the conductivity effective mass of holes.

The change in the concentrations of free charge carriers affects the optical absorption coefficient of the material,  $\Delta \alpha$ ,

following the mathematical expression given as,

$$\Delta \alpha = \frac{(e^3 \lambda_o^2)}{4\pi^2 c^3 \epsilon_o n} \left[ \frac{\Delta N_e}{m_{ce}^{*2} \mu_e} + \frac{\Delta N_h}{m_{ch}^{*2} \mu_h} \right]. \tag{3}$$

Here,  $\mu_e$  is the electron mobility, and  $\mu_h$  is the hole mobility [27].

Experiments are performed to evaluate the effect of voltage on the optical signal traversing through silicon. A 5mm long Si waveguide is phase modulated by a reverse biased pn-junction driven by a 5mm long Al coplanar transmission line. The CHARGE solver provides charge density in the p-n junction with the changing reverse bias from 0 to 20V. The change in the charge density is imported into the MODE solver to calculate optical index modulation of the waveguide with the applied voltage. As the voltage is applied to the waveguide,  $n_{eff}$  changes, resulting in the phase modulation of the optical signal.

The results obtained are graphically represented in the effective refractive index (real part) vs voltage and loss vs voltage characteristics are shown in Figs. 11 and Fig. 12 respectively. The phase shift introduced in the optical signal with the applied voltage is shown in Fig. 13. The data obtained from these graphs are further imported to INTERCONNECT to be used for phase modulation in MZM.

## C. Mach-Zehnder modulator (MZM)

A MZM is designed in the INTERCONNECT using the S-parameters of the 3dB coupler and  $n_{eff}$  vs voltage data obtained in previous sub-sections respectively. The modulation section is 5mm long. The set up is powered by 1W continuous wave (CW) laser. At the output, the optical signals are measured using oscilloscopes. For 0V DC supply provided at the modulation stage, the MZM works in a bar configuration. The complete coupling of optical signal to the lower output arm occurs with the application of 5.6V DC supply at the modulation stage, as shown in Fig. 14, leaving MZM in a cross configuration. The optical signal at the output can be distributed between the two output arms using different voltage values in the range 0 to 5.6V.

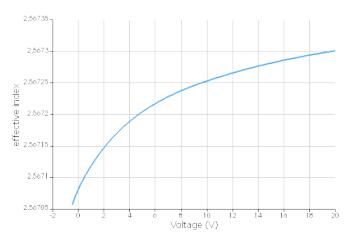


Figure 11: Real part of  $n_{eff}$  vs Voltage

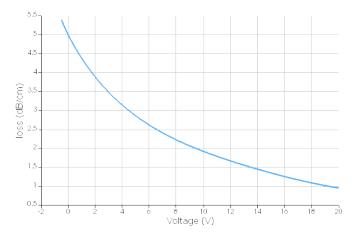


Figure 12: Loss vs Voltage

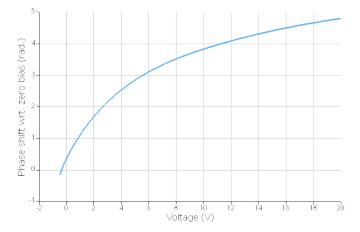


Figure 13: Real part of  $n_{eff}$  vs Voltage

We designed another MZM with a shortened modulation arm length of 3mm. It requires 16V to undergo switching from 0 to 1, as shown in Fig. 15. Keeping the footprint vs power tradeoff in mind, we use the MZM with 5mm modulation arm length for our DFT architecture, as the one with 3mm requires 16V for switching, which is impractical or not readily available in conventional chip power supplies.

The total optical signal at the outputs can be described as the sum of two waves,

$$E(V_1, V_2) = \frac{E_o}{1 + \sigma} \left[ \sigma e^{\left(\frac{-2\pi}{\lambda_o} n_{eff}(V_1)L\right)} + e^{\left(\frac{-2\pi}{\lambda_o} n_{eff}(V_2)L\right)} \right]$$
(4)

where  $\sigma$  represents the splitting ratio (the ratio of the power in each branch), L is the length of the arm,  $\lambda_o$  is the free-space wavelength, and  $n_{eff}(V)$  is the effective index of the arm as a function of the applied voltage. In the case where only one arm is actively driven,

$$n_{eff}(V_2) = n_{eff,2},\tag{5}$$

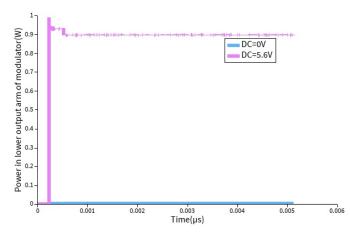


Figure 14: MZM with 5mm modulator arm acting as switch with voltage application

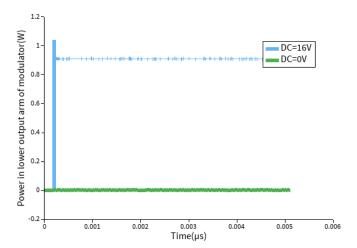


Figure 15: MZM with 3mm modulator arm acting as switch with voltage application

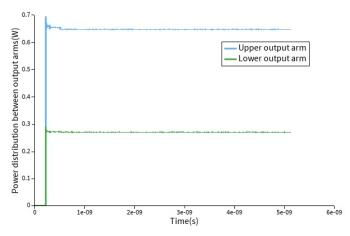


Figure 16: Power distribution in MZM in intermediate configuration

and the phase accumulated in that arm is a constant,

$$\phi_2 = \frac{2\pi}{\lambda_o} n_{eff,2} L \tag{6}$$

The normalized power transmission can then be calculated as

$$T(V_1) = \left| \frac{E(V_1)}{E_o} \right|^2$$

$$= \frac{1}{1+\sigma} \left| \sigma \exp\left( \frac{-2\pi}{\lambda_o} n_{eff}(V_1) L \right) + \exp(-\sigma_2) \right|^2$$
 (7)

The characteristics,  $V_{\pi}L_{\pi}$  product, of MZM can be determined from,

$$\Delta \phi = \frac{\Delta n_{eff} 2\pi L}{\lambda_o}.$$
 (8)

For  $\Delta \phi = \pi$ ,

$$\Delta n_{eff}(V_{\pi}) = \frac{\lambda_o}{2L_{\pi}}.$$
 (9)

The insertion loss (IL) is defined as the ratio of the peak normalized transmission and the ideal transmission T=1.

$$IL(dB) = -10log_{10}(\max T).$$
 (10)

The extinction ratio (ER) is the ratio of the peak transmission power to the minimum transmission power,

$$ER(dB) = 10log_{10} \left(\frac{\max T}{\min T}\right). \tag{11}$$

The calculated values of IL and ER of the designed MZM are 2.6dB and 20.3dB respectively.

1) The Test-Access Configuration of the MZM: We make use of the intermediate configuration of the MZM as shown in Fig. 4. In the intermediate configuration (test mode), power is partially present in both arms. For a DC voltage of 1.77V, 30% of the optical signal is coupled into the lower output arm of the MZM, and 70% in the upper arm, as shown in Fig. 16. We refer to this configuration as the test mode and the tapped-out 30% signal as the test signal. The power and phase of this test signal are measured using an oscilloscope.

## D. Y-combiner

A Y-combiner is designed as per the waveguide profile shown in Fig. 7. The Y-combiner is  $15\mu m$  long and simulated in FDTD as shown in Fig. 17. The power transmission for  $1.55\mu m$  wavelength is shown in Fig. 18. The insertion loss calculated to be 0.22dB, is further used in INTERCONNECT for circuit simulation.

The test signal obtained from MZM and a reference signal are applied as the Y-combiner inputs. Here, the reference signal is the ideal/fault-free optical signal at the test debug point. The power and phase of the reference signal are known, or can be computed by analyzing the circuit layout. The phase of the reference signal is equal and opposite to that of the ideal (fault-free) test signal in a defect-free circuit. The output of the Y-combiner is measured using an oscilloscope. If the output is zero, the CUT is defect-free, as it implies that the test and

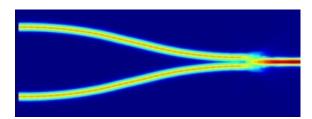


Figure 17: E-field distribution in Y-combiner

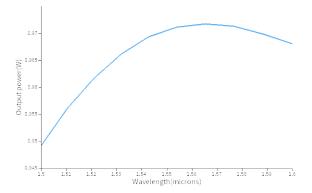


Figure 18: Transmitted power at Y-combiner output

reference signals canceled at the Y-combiner due to destructive interference. Otherwise, the CUT is defected.

A detailed block diagram of the DFT architecture composed of the designed MZM and the Y-combiner is shown in Fig. 19. A tolerance-limit on the output of the Y-combiner, depending on CUT applications, may be considered for decision-making.

## V. APPLICATION OF THE DFT APPROACH

The DFT unit serves as a critical sub-circuit facilitating comprehensive testing and debugging throughout the product lifecycle. Through meticulous design considerations, including strategic placement and functionality optimization, DFT techniques enhance testability, shorten time-to-market, and ultimately contribute to higher product quality and yield. The effective utilization of test pins and debug points can streamline the testing process and empower engineers to address complex design challenges with precision.

The placement and operation of the proposed DFT circuit

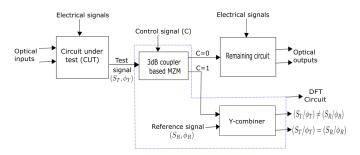


Figure 19: Detailed block diagram of the DFT circuit

is demonstrated in this section. We choose two widely used PIC configurations and test them using our DFT circuit.

## A. Testing a circuit with a feed-forward topology

The classical integrated optical neural network (ONN) architecture [4] implements MZI arrays to realize multi-layer perceptron (MLP) inference as shown in Fig. 20. The plane rotator  $R_{ij}$  is an  $n \times n$  identity matrix, where four entries at  $(i,i),\ (i,j),\ (j,i)$  and (j,j) indices are replaced by  $cos(\phi)$ ,  $sin(\phi),\ -sin(\phi),\ and\ cos(\phi)$ . Each  $R_{ij}$  can be implemented with a  $2\times 2$  MZI, whose transfer function is:

$$\begin{pmatrix} F \\ G \end{pmatrix} = \begin{pmatrix} \cos\phi & \sin\phi \\ -\sin\phi & \cos\phi \end{pmatrix} \cdot \begin{pmatrix} P \\ Q \end{pmatrix} \tag{12}$$

where the phase  $\phi$  can be implemented with a optical phase shifter [4].

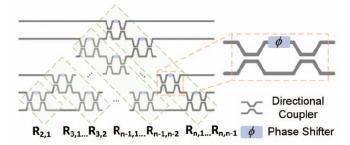


Figure 20: Schematic of a triangular MZI array and the structure of a 2X2 MZI

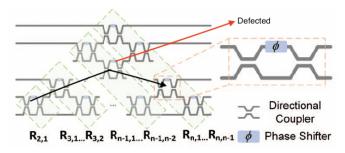


Figure 21: Schematic of a triangular MZI array depicting optical signal propagation path and location of the deformed MZI

This defect-free circuit topology is implemented in INTER-CONNECT. For a particular test configuration (test input), the optical signal propagation path is shown in Fig. 21 with a black colored arrow. The MZI marked with the orange dotted line is replaced by the proposed DFT MZM circuit. Under normal mode of operation of DFT circuit, the optical signal passes through the upper output (the F arm) of the MZM. When DC voltage of 1.77V is applied, the DFT MZM operates under test mode and 30% of the optical signal (test signal) is coupled to the lower (G) arm. The power and phase of the test signal is calculated to be 0.27 W and 18.7 rad using an oscilloscope. A reference signal of 0.27W and phase -18.7rad, and also the

test signal, are applied as inputs to the Y-combiner. The two signals destructively interfere and no signal is detected at the Y-combiner output – implying that the circuit is fault-free.

Now, assume that a defect is introduced in the MZI marked with a red arrow in Fig. 21. We may assume that the manufacturing defect causes a phase shift of 2.14rad instead of 3.14rad in the MZM. The defect may result from physical deformities in waveguides, material impurity or faulty modulation techniques. The defect causes a change in the DFT MZM's output to 0.1W as shown in Fig. 22 – thus detecting malfunction (note that 0.1W is almost 30% of the test signal's power).

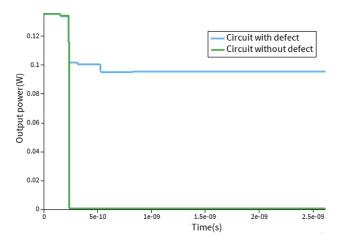


Figure 22: DFT circuit output while testing neural network topology

#### B. Testing an optical logic circuit with feedback loops

Fig. 23 implements a Boolean function corresponding to Segment-0 of a BCD-to-7-segment display using 5 MZIs, which is borrowed from [5]. In this circuit, the MZIs act as true cross-bar switches controlled by electrical signals, where the control signal equal to 0 configures the MZI into a bar, whereas a 1 configures it into a cross. The optical signals "1" and "0" denoting laser inputs are connected to the MZI's data inputs. The shown interconnection composes the Boolean function for Segment-0. The output is computed at the upper arm of the MZI controlled by  $X_1$ , where a photodetector detects presence (1) or absence (0) of light. Notice that under the input  $X_3X_2X_1X_0 = 0010$ , the waveguides of the circuit of Fig. 23 are connected in a cycle/feedback loop. Thus, this optical logic design style includes topological cycles.

Consider the electrical control input  $X_3X_2X_1X_0 = 0100$  (corresponding to the decimal digit 4) applied to the control the MZIs. Optical (laser) inputs 1 and 0 are applied to the MZIs controlled by inputs  $X_3$  and  $X_0$ , respectively. This configuration should set Segment-0 to 0.

We add the DFT circuitry between  $X_2X_1$  as shown in Fig. 24. In this case, the DFT circuit is inserted externally in the BCD-to-7 segment display circuit because all four ports of the constituent MZMs are used and replacing a MZM may disturb the normal functioning of the given circuit. A reference

signal of 0.27W and 0rad is applied to one of the inputs of the Y-combiner. For a fault-free circuit, the Y-combiner of DFT circuit should provide a 0.27W signal at the output. In our experiment, we introduce a deformed MZI at the device controlled by  $X_3$  (the one on the right) by changing the phase shift in the modulation arm from 3.14rad to 2.14 rad. The signal distribution in the faulty MZI changes and a signal is detected at the test debug point. Under test mode, 27% of the detected signal moves into Y-combiner and destructively interferes with the reference signal. The output of the DFT circuit is shown in Fig. 25, indicating the presence of a defect.

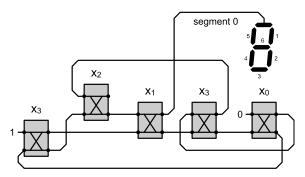


Figure 23: Segment 0 of BCD-to-7 Segment Display

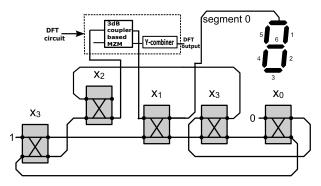


Figure 24: DFT insertion in Segment 0 of BCD-to-7 Segment Display

### VI. CONCLUSION

In conclusion, the emergence of Silicon (Si) photonics underscores the necessity for automated testing and validation techniques. Given the susceptibility of Si-photonics devices to manufacturing imperfections, the implementation of a Computer-Aided Design (CAD) based testing infrastructure becomes imperative to optimize testing workflows and support large-scale manufacturing. This study has introduced a methodology for testing photonic integrated circuits, proposing a design-for-test (DFT) circuit capable of comparing the test signal against a reference signal for the circuit under scrutiny. Through a series of experiments encompassing the design and simulation of all DFT architecture components, followed by circuit assembly, the effectiveness of the proposed DFT circuit has been demonstrated. Its utility has been explained through application on widely deployed photonic integrated circuits

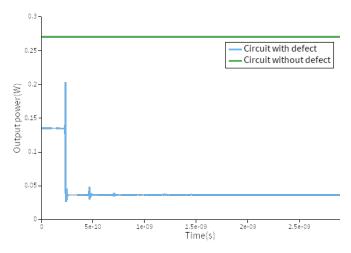


Figure 25: DFT output for circuit with feedback loop

(PIC), where intentionally introduced defects were accurately evaluated using the proposed DFT circuitry.

#### REFERENCES

- N. Margalit, C. Xiang, S. M. Bowers, A. Bjorlin, R. Blum, and J. E. Bowers, "Perspective on the future of silicon photonics and electronics," *Appl. Phys. Lett.*, vol. 118, no. 22, p. 220501, 2021.
- [2] S. Fathololoumi, C. Malouin, D. Hui, K. Al-hemyari, K. Nguyen, P. Seddighian, Y.-J. Chen, Y. Wang, A. Yan, R. Defrees, T. Liljeberg, and L. Liao, "Highly integrated 4 thps silicon photonic ic for compute fabric connectivity," in 2022 IEEE Symposium on High-Performance Interconnects (HOTI), 2022, pp. 1–4.
- [3] K. Hosseini, E. Kok, S. Y. Shumarayev, C.-P. Chiu, A. Sarkar, A. Toda, Y. Ke, A. Chan, D. Jeong, M. Zhang, S. Raman, T. Tran, K. A. Singh, P. Bhargava, C. Zhang, H. Lu, R. Mahajan, X. Li, N. Deshpande, C. OKeeffe, T. T. Hoang, U. Krishnamoorthy, C. Sun, R. Meade, V. Stojanovic, and M. Wade, "8 tbps co-packaged fpga and silicon photonics optical io," in 2021 Optical Fiber Communications Conference and Exhibition (OFC), 2021, pp. 1–3.
- [4] Y. Shen and et al., "Deep learning with coherent nanophotonic circuits," Nature Photonics, vol. 11, no. 441-446, 2017.
- [5] C. Condrat, P. Kalla, and S. Blair, "Logic synthesis for integrated optics," in *Proc. Great Lakes Symp. VLSI*. ACM, 2011, pp. 13–18.
- [6] X. Yufei, D. Jiaxing, K. M. Umar, and B. Wim, "Capturing the effects of spatial process variations in silicon photonic circuits," ACS Photonics, vol. 10, no. 4, 2022.
- [7] G. T. Reed and A. P. Knights, Silicon Phototnics: An Introduction. John Wiley & Sons, Ltd., 2004.
- [8] P. Agnihotri, P. Kalla, and S. Blair, "Transfer-matrix abstractions to analyze the effect of manufacturing variations in silicon photonic circuits," in 2022 IEEE International Test Conference India (ITC India), 2022, pp. 1–8.
- [9] P. Agnihotri, L. Schlitt, P. Kalla, and S. Blair, "Abstractions for modeling the effects of wall surface roughness in silicon photonic microring resonators," in *IEEE 24th Latin American Test Symposium (LATS)*, 2023, pp. 1–6.
- [10] M. Nikdast, G. Nicolescu, J. Trajkovic, and O. Liboiron-Ladouceur, "An analytical study of process variations in silicon photonic integrated circuits," in 2016 Photonics North (PN), 2016, pp. 1–2.
- [11] W. Bogaerts, Y. Xing, and U. Khan, "Layout-aware variability analysis, yield prediction, and optimization in photonic integrated circuits," *IEEE Journal of Selected Topics in Quantum Electronics*, vol. 25, no. 5, pp. 1–13, 2019.
- [12] W. Bogaerts, X. Chen, H. Deng, L. Van Iseghem, M. Wang, I. Zand, Y. Zhang, Y. Liu, K. Nagarjun, and U. Khan, "Programmable silicon photonic circuits," in 2022 27th OptoElectronics and Communications Conference (OECC) and 2022 International Conference on Photonics in Switching and Computing (PSC), 2022, pp. 1–3.

- [13] X. Yu, X. Chen, M. M. Milosevic, X. Yan, S. Saito, and G. T. Reed, "Electrically erasable optical i/o for wafer scale testing of silicon photonic integrated circuits," *IEEE Photonics Journal*, vol. 12, no. 5, pp. 1–8, 2020.
- [14] S. Dwivedi, J. Kjellman, T. David, M. Prost, O. Syshchyk, E. Van Sieleghem, J. Lee, A. Marinins, P. Soussan, M. Dahlem, X. Rottenberg, and R. Jansen, "All-silicon photodetectors for photonic integrated circuit calibration," *IEEE Photonics Technology Letters*, vol. 33, no. 16, pp. 836–839, 2021.
- [15] M. Petrini, R. Baldi, F. Morichetti, and A. Melloni, "Automatic testing of a silicon photonic reconfigurable add/drop multiplexer," in 2022 IEEE Photonics Society Summer Topicals Meeting Series (SUM), 2022, pp. 1–2
- [16] J. De Coster, P. De Heyn, M. Pantouvaki, B. Snyder, H. Chen, E. J. Marinissen, P. Absil, J. Van Campenhout, and B. Bolt, "Test-station for flexible semi-automatic wafer-level silicon photonics testing," in 2016 21th IEEE European Test Symposium (ETS), 2016, pp. 1–6.
- [17] R. Cao, J. Billoudet, J. Ferguson, L. Couder, J. Cayo, A. Arriordaz, and I. O'Connor, "Lvs check for photonic integrated circuits curvilinear feature extraction and validation," in 2015 Design, Automation & Test in Europe Conference & Exhibition (DATE), 2015, pp. 1253–1256.
- [18] L. Chrostowski and et al, "Impact of fabrication non-uniformity on chipscale silicon photonic integrated circuits," in Optical Fiber Communication Conference. Optical Society of America, 2014, pp. Th2A–37.
- [19] M. Nikdast, G. Nicolescu, J. Trajkovic, and O. Liboiron-Ladouceur, "Chip-scale silicon photonic interconnects: A formal study on fabrication non-uniformity," *Journal of Lightwave Technology*, vol. 34, no. 16, pp. 3682–3695, 2016.
- [20] S. Banerjee, M. Nikdast, and K. Chakrabarty, "On the impact of uncertainties in silicon-photonic neural networks," *IEEE Design & Test* (early access), 2022.
- [21] Y. Zhang, K. Wang, Q. An, Y. Hao, H. Meng, and X. Liu, "High-accuracy online calibration scheme for large-scale integrated photonic interferometric measurements," *IEEE Photonics Journal*, vol. 14, no. 3, pp. 1–5, 2022.
- [22] M. P. Gaskov, U. O. Salgaeva, A. A. Kondakov, and I. A. Lobach, "Calibration and demodulation for signals in fbg- interrogator based on photonic integrated circuit," in 2022 IEEE 23rd International Conference of Young Professionals in Electron Devices and Materials (EDM), 2022, pp. 364–367.
- [23] S. M. R. Safaee, K. H. R. Mojaver, and O. Liboiron-Ladouceur, "Application specific interface to control and calibrate programmable photonic integrated circuits," in 2022 Photonics North (PN), 2022, pp. 1–1.
- [24] J. Fan, Y. Tang, and W. Gao, "Universal approach for calibrating large-scale electronic and photonic crossbar arrays," *Advanced Intelligent Systems*, vol. 5, no. 10, p. 2300147, 2023. [Online]. Available: https://onlinelibrary.wiley.com/doi/abs/10.1002/aisy.202300147
- [25] M. Petrini, R. Baldi, F. Morichetti, and A. Melloni, "Automatic testing of a silicon photonic reconfigurable add/drop multiplexer," in 2022 IEEE Photonics Society Summer Topicals Meeting Series (SUM), 2022, pp. 1–2.
- [26] Lumerical Photonic Design Software, Lumerical Computational Solutions, Inc., www.lumerical.com.
- [27] R. A. Soref and B. Bennett, "Electrooptical effects in silicon," *Quantum Electronics*, *IEEE Journal of*, vol. 23, no. 1, pp. 123–129, 1987.