

Intro to Rings, Fields, Polynomials: Hardware Modeling by Modulo Arithmetic

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Lectures: Sept. 11, 2017 onwards

- Wish to build a polynomial algebra model for hardware
- Modulo arithmetic model is versatile: can represent both *bit-level* and *word-level* constraints
- To build the algebraic/modulo arithmetic model:
 - Rings, Fields, Modulo arithmetic
 - Polynomials, Polynomial functions, Polynomial Rings
 - Finite fields \mathbb{F}_p , \mathbb{F}_{p^k} and \mathbb{F}_{2^k}
- Later on, we will study
 - Ideals, Varieties, and Gröbner Bases
 - Decision procedures in verification

- Modeling for bit-precise algebraic computation
 - Arithmetic RTLs: functions over **k -bit-vectors**
 - k -bit-vector \mapsto integers $(\text{mod } 2^k) = \mathbb{Z}_{2^k}$
 - k -bit-vector \mapsto Galois (Finite) field \mathbb{F}_{2^k}
- For many of these applications SAT/SMT fail **miserably!**
- Computer Algebra and Algebraic Geometry + SAT/SMT
 - Model: Circuits as polynomial functions $f : \mathbb{Z}_{2^k} \rightarrow \mathbb{Z}_{2^k}$, $f : \mathbb{F}_{2^k} \rightarrow \mathbb{F}_{2^k}$

All we need is an **algebraic object** where we can ADD, MULTIPLY, DIVIDE.
These objects are Rings and Fields.

An **Abelian group** is a set G and a binary operation " $+$ " satisfying:

- *Closure*: For every $a, b \in G$, $a + b \in G$.
- *Associativity*: For every $a, b, c \in G$, $a + (b + c) = (a + b) + c$.
- *Commutativity*: For every $a, b \in G$, $a + b = b + a$.
- *Identity*: There is an identity element $0 \in G$ such that for all $a \in G$; $a + 0 = a$.
- *Inverse*: If $a \in G$, then there is an element $a^{-1} \in G$ such that $a + a^{-1} = 0$.

Example: The set of Integers \mathbb{Z} or \mathbb{Z}_n with $+$ operation.

A **Commutative ring with unity** is a set R and two binary operations " $+$ " and " \cdot ", as well as two distinguished elements $0, 1 \in R$ such that, R is an Abelian group with respect to addition with additive identity element 0 , and the following properties are satisfied:

- *Multiplicative Closure:* For every $a, b \in R$, $a \cdot b \in R$.
- *Multiplicative Associativity:* For every $a, b, c \in R$,
 $a \cdot (b \cdot c) = (a \cdot b) \cdot c$.
- *Multiplicative Commutativity:* For every $a, b \in R$, $a \cdot b = b \cdot a$.
- *Multiplicative Identity:* There is an identity element $1 \in R$ such that for all $a \in R$, $a \cdot 1 = a$.
- *Distributivity:* For every $a, b, c \in R$, $a \cdot (b + c) = a \cdot b + a \cdot c$ holds for all $a, b, c \in R$.

Example: The set of Integers \mathbb{Z} or \mathbb{Z}_n with $+$, \cdot operations.

- Examples of rings: $\mathbb{R}, \mathbb{Q}, \mathbb{C}, \mathbb{Z}$
- $\mathbb{Z}_n = \{0, 1, \dots, n-1\}$ where $+, \cdot$ computed $+, \cdot \pmod{n}$
- Modulo arithmetic:
 - $(a + b) \pmod{n} = (a \pmod{n} + b \pmod{n}) \pmod{n}$
 - $(a \cdot b) \pmod{n} = (a \pmod{n} \cdot b \pmod{n}) \pmod{n}$
 - $-a \pmod{n} = (n - a) \pmod{n}$
- Arithmetic k -bit vectors \mapsto arithmetic over \mathbb{Z}_{2^k}
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But, what about division?

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Division

For an element a in a ring R , $\frac{a}{b} = a \times b^{-1}$. Here, $b^{-1} \in R$ s.t. $b \cdot b^{-1} = 1$.

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- Over \mathbb{Z}_7 : if $b = 6$, $b^{-1} = ?$

Field $(\mathbb{F}, 0, 1, +, \cdot)$

A **field** \mathbb{F} is a commutative ring with unity, where every element in \mathbb{F} , except 0, has a multiplicative inverse:

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$$\mathbb{Z}_2 \equiv \mathbb{F}_2 \equiv \mathbb{B} \equiv \{0, 1\}$$

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$\mathbb{B} \rightarrow \mathbb{F}_2$:

$$\begin{aligned}\neg a &\rightarrow a + 1 \pmod{2} \\ a \vee b &\rightarrow a + b + a \cdot b \pmod{2} \\ a \wedge b &\rightarrow a \cdot b \pmod{2} \\ a \oplus b &\rightarrow a + b \pmod{2}\end{aligned} \tag{1}$$

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\mathbb{B} is arithmetic (mod 2)

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In $\mathbb{Z}_2 \equiv \mathbb{F}_2$, $-1 = +1 \pmod{2}$

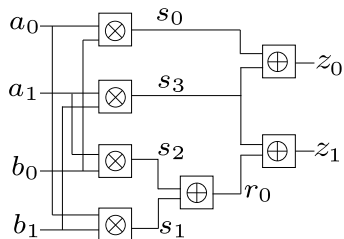


Figure: $\otimes = \text{AND}$, $\oplus = \text{XOR}$.

$$\begin{aligned}
 f_1 &: s_0 + a_0 \cdot b_0; & f_2 &: s_1 + a_0 \cdot b_1, \\
 f_3 &: s_2 + a_1 \cdot b_0; & f_4 &: s_3 + a_1 \cdot b_1, \\
 f_5 &: r_0 + s_1 + s_2; & f_6 &: z_0 + s_0 + s_3, \\
 f_7 &: z_1 + r_0 + s_3
 \end{aligned}$$

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Fermat's Little Theorem

$$\forall x \in \mathbb{F}_p, x^p - x = 0$$

Zero Divisors (ZD)

For $a, b \in R$, $a, b \neq 0$, $a \cdot b = 0$. Then a, b are zero divisors of each other. \mathbb{Z}_n , $n \neq p$ has zero divisors. What about \mathbb{Z}_p ?

Integral Domains

Any set (ring) with no zero divisors: $\mathbb{Z}, \mathbb{R}, \mathbb{Q}, \mathbb{C}, \mathbb{Z}_p, \mathbb{F}_{2^k}$. What about \mathbb{Z}_{2^k} ?

Relationships

Commutative Rings \supset Integral Domains (no ZD) \supset Unique Factorization Domains \supset Fields

For Hardware: Our interests – non-UFD Rings (\mathbb{Z}_{2^k}) and Fields \mathbb{F}_{2^k}

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- $\mathbb{Z}_8 = \text{non-UFD}$
- Cannot use factorization to prove equivalence over non-UFDs.

Consolidating the results so far...

- Over fields $\mathbb{Z}_p, \mathbb{F}_{2^k}, \mathbb{R}, \mathbb{Q}, \mathbb{C}$
 - We can ADD, MULTIPLY, DIVIDE
 - No zero-divisors, can uniquely factorize a polynomial according to its roots
- Rings \mathbb{Z} : integral domains, unique factorization, but no inverses
- Over Rings $\mathbb{Z}_n, n \neq p$; e.g. $n = 2^k$
 - Presence of zero divisors
 - non-UFDs, polynomial can have more zeros than its degree
 - Cannot perform division

- Let x_1, \dots, x_d be variables
- Monomial is a power product: $X = x_1^{\alpha_1} \cdot x_2^{\alpha_2} \cdots x_d^{\alpha_d}$, $\alpha_i \in \mathbb{Z}_{\geq 0}$
- Polynomial: finite sum of terms $f = c_1 X_1 + c_2 X_2 + \cdots + c_t X_t$, where X_i are monomials and c_i are coefficients
- $f = x^{-55}$ not a polynomial!
- The terms of f have to be ordered: $X_1 > X_2 > \cdots > X_t$
- Term ordering for univariate polynomials is based on the degree: e.g. $f = 3x^{53} + 99x^3 + 4$
- Multi-variate term-ordering is a lot more involved – and we'll study it shortly

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For symbolic computation: Polynomial Rings

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- $\mathbb{R}[x_1, \dots, x_d]$ is a finite or infinite set?

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- $\mathbb{R}[x_1, \dots, x_d]$ is a finite or infinite set?
- $\mathbb{Z}_{2^k}[x_1, \dots, x_d]$ is a finite or infinite set? (It's a loaded question)

Operations in Polynomial Rings

- ADD, MULT polynomials, just like you did in high-school
- Reduce coefficients modulo the coefficient field/ring
- Consider: $f_1, f_2 \in \mathbb{Z}_4[x, y]$
 - $f_1 = 3x + 2y$; $f_2 = 2x + 2y$
 - $f_1 + f_2 = x$; $f_1 \cdot f_2 = 2x^2 + 2xy$
 - Reduce coefficients in \mathbb{Z}_4 , i.e. (mod 4)
- Solve $f_1 = f_2 = 0$, Solutions (x, y) should be in \mathbb{Z}_4

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- Finding solutions to a system of polynomial equations is not easy, solutions also have be found within the ring or field, e.g. \mathbb{Z}_4 in our case. That's why we use symbolic reasoning instead of numeric computation

Polynomial Functions (Polyfunctions)

- A function is a map $f : A \rightarrow B$; where A, B are the domain and co-domain, respectively.
- Ex: $f : \mathbb{R} \rightarrow \mathbb{R}$ is a function over Reals; and $f : \mathbb{Z}_{2^k} \rightarrow \mathbb{Z}_{2^k}$ is a function over the finite integer ring \mathbb{Z}_{2^k}

PolyFunction

Given a function $f : A \rightarrow B$, does there exist a (canonical) polynomial F that describes f ? If so, f is a polynomial function.

- Over finite fields every function $f : \mathbb{F}_{p^k} \rightarrow \mathbb{F}_{p^k}$ is a polynomial function. It is possible to interpolate a polynomial F from f .
- Not every $f : \mathbb{Z}_n \rightarrow \mathbb{Z}_n, n \neq p$, is a polynomial function.
 - Example1: $f : \mathbb{Z}_4 \rightarrow \mathbb{Z}_4, f(0) = 0; f(1) = 1; f(2) = 0; f(3) = 1$; then $F = x^2 \pmod{4}$
 - Example2: $f : \mathbb{Z}_4 \rightarrow \mathbb{Z}_4, f(0) = 0; f(1) = 0; f(2) = 1; f(3) = 1$; No polynomial $F \pmod{4}$ represents f

Zero Polynomials and Zero Functions

- Over $\mathbb{Z}_4[x]$, $F_1 = 2x^2$, $F_2 = 2x$
- $F_1 - F_2 = 2x^2 - 2x = 0 \quad (\forall x \in \mathbb{Z}_4)$
- $F_1 \equiv F_2$ and $F_1 - F_2 \equiv 0$ (zero function)
- Need a **unique, canonical** representation of F over $\mathbb{Z}_{2^k}, \mathbb{F}_{2^k}$
- Equivalently, need a **unique, canonical** representation of the **zero function**
- Over $\mathbb{Z}_{2^k}[x]$, we'll study canonical representations of zero functions later in the course
- Over Galois fields $\mathbb{Z}_p : x^p = x \pmod{p}$ or $x^p - x = 0 \pmod{p}$

Zero functions in $\mathbb{Z}_p[x]$

A polynomial $F \in \mathbb{Z}_p[x]$ represents the zero function \iff
 $F \pmod{x^p - x} = 0$, i.e. $x^p - x$ divides F .

- In $\mathbb{Z}_p[x]$, to prove $F_1 \equiv F_2 \iff (F_1 - F_2) \pmod{x^p - x} = 0$.

Zero Functions over Infinite Fields

- Over infinite fields, life is much easier:

Let \mathbb{F} be an infinite field, and $F \in \mathbb{F}[x_1, \dots, x_d]$. Then:
 $F = 0 \iff f : \mathbb{F}^n \rightarrow \mathbb{F}$ is the zero function

Circuits are functions over $\mathbb{Z}_{2^k}, \mathbb{F}_{2^k}$. Need algorithms to test if multi-variate polynomials $F(x_1, \dots, x_d) \in \mathbb{Z}_{2^k}[x_1, \dots, x_d]$ or in $\mathbb{F}_{2^k}[x_1, \dots, x_d]$ are zero functions. Hardware verification is a hard problem!