A Nyquist plot is essentially a polar Bode plot. Like a Bode plot, it is plotted for the Open-Loop (OL) Transfer function and will give information about the stability of the Closed-Loop (CL) system.

Open-Loop (OL) Transfer function: $\quad \mathbf{G}(\mathrm{s})=\frac{\mathrm{N}_{G^{(s)}}}{\mathrm{D}_{\mathrm{G}^{(s)}}} \quad \begin{aligned} & \mathrm{m}=\text { number of zeros } \\ & \mathrm{n}\end{aligned}$

## Basic Nyquist Rules

1. "Clean up" any "-s" terms in $\mathbf{G}(\mathrm{s})$ by multiplying by -1 as needed.

If an overall "-" remains in $\mathbf{G}(\mathrm{s})$, then add $180^{\circ}$ to all the angles below. (rare)
2. Start at $\mathbf{G}(0)$, the DC gain, a point on the real axis.

If $\mathbf{G}(\mathrm{s})$ has a zero at the origin: $\quad \mathbf{G}(0)=0$
If $\mathbf{G}(\mathrm{s})$ has a pole at the origin: $\quad \mathbf{G}(0)= \pm \infty \quad$ plot heads upward if first

If $\mathbf{G}(\mathrm{s})$ has no poles or zeros in the right-half plane then:
A. corner frequency is a zero
$\omega=0$

$\sqrt{\text { plot heads downward if first }}$| corner frequency is a pole |
| :--- |


4. Plot the rest of the frequency response of $\mathbf{G}(\mathrm{j} \omega)$. Use Bode plot to gude you.

5. Add the $\omega<0$ curve (dashed line). It is simply the mirror image of the $\omega>0$ curve about the real axis. This part of the curve is usually not necessary, it doesn't provide any more information.
6. Gain, $k$, makes entire plot grow in all directions (or shrink if $k<1$ ).

7. $\mathrm{Z}=\mathrm{N}+\mathrm{P}$
$\mathrm{P}=\mathrm{OL}(\mathbf{G}(\mathrm{s}))$ poles in RHP (0 if open-loop stable). P cannot be -
$\mathrm{N}=\mathrm{CW}$ encirclements of -1 , CCW encirclements are counted as negative and may make up for P .
$Z=C L$ poles in RHP (must be zero if closed-loop stable). $Z$ cannot be -
8. ANY CW encirclements means Closed-Loop system is UNSTABLE
$\mathbf{N}>0$--> CL unstable (P cannot be -)

## ECE 3510 Nyquist Plot Notes p. 2

## Counting Clockwise Encirclements

$\mathrm{N}=\mathrm{CW}$ encirclements of -1 ,
CCW encirclements are counted as negative and may make up for P .



If you have the $\omega<0$ curve (dashed line), then you can use any single-ended line that starts at -1 to help you count encirclements.


CL System CAN be stable, if $\mathrm{P} \leq 2$
-N can make up for +P . and stabilize an OL unstable system
$\mathrm{Z}=\mathrm{N}+\mathrm{P}$

$$
\mathrm{P}=\mathrm{OL} \text { poles in RHP (0 if open-loop stable) }
$$

$\mathbf{N}=$ CW encirclements of -1 . CL System CANNOT be stable if $\mathbf{N}>\mathbf{0}$
$\mathrm{Z}=\mathrm{CL}$ poles in RHP (must be zero if closed-loop stable)
ECE 3510 Nyquist Plot Notes

To find the Phase Margin (PM):

1. Find where the Nyquist plot crosses the unit circle. These crossings separate the unit circle into regions.
2. Decide which of these regions have unacceptable CW encirclements.
3. Determine what phase change would cause the -1 point to be an unacceptable region, usually $180^{\circ}-/$ crossing


To find the Gain Margin (GM):

1. Find where the Nyquist plot crosses the negative real axis. These crossings separate the negative real axis into regions.
2. Decide which of these regions have unacceptable CW encirclements.
3. Determine what gain would cause the -1 point to be an unacceptable region, usually $\frac{1}{- \text { crossing }}$ into the unacceptable region.
4. Usually there is just one upper limit of gain-- in that case report that as the Gain Margin.
5. If there is a lower limit of gain, report the Gain Margin as: $G M=[$ Lower limit, upper limit $]$

If there is no upper limit, then report it as $\infty$


The normal contour


A double pole at the origin


A pole on the imaginary axis causes a problem. Is it inside or outside of the contour?


A triple pole at the origin The Nyquist plot


A single pole at the origin
A closer look The Nyquist plot of this



Poles at other locations on the imaginary axis


Possible Nyquist plots





ECE 3510
How Nyquist Plots Work see pages 615 and 616 in Nise

For any point on the s-plane


For any contour on the s-plane You can find a
corresponding point
on the $\mathbf{F}$ (s) plane


There is a corresponding contour on the $\mathbf{F}(\mathrm{s})$ plane



When we make a Nyquist plot for frequencies from o to $\infty$, and then from $-\infty$ to 0 again, we are essentially making a contour that circles the entire RHP

This gives information about poles and zeros in the RHP. This information reveals itself in the encirclements of 0 , OR if we want the same information about $1+\mathbf{G}(\mathrm{s})$, in the encirclements of -1 .

Closed-loop transfer function
(G(s) includes gain, k)

$$
\begin{array}{cc}
\frac{\mathbf{G}(\mathrm{s})}{1+\mathbf{G}(\mathrm{s})} & = \\
\begin{array}{c}
\text { poles of } \mathrm{CL} \\
=\text { zeros of } \\
1+\mathbf{G}(\mathrm{s})
\end{array} & \frac{\mathbf{G}(\mathrm{s})}{\mathrm{D}_{\mathrm{G}}+\mathrm{N}_{\mathrm{G}}} \mathrm{D}_{\mathrm{G}} \\
=Z_{(\mathrm{RHP})} & \\
& \begin{array}{c}
\text { poles of } \\
\\
\\
\\
\\
\\
\\
\\
\\
=\operatorname{poles}_{(\mathrm{RHP})}(\mathrm{s})
\end{array} \\
\end{array}
$$

We plot G(s), but count CW encirclements of -1 , rather than 0 to get the CW encirclements that $1+\mathbf{G}(\mathrm{s})$ would have of 0 , Thus.

$$
\begin{aligned}
\mathrm{N} & =\mathrm{CW} \text { encirclements of }-1 \\
& =\mathrm{Z}-\mathrm{P}
\end{aligned}
$$

rearrange

$$
\begin{aligned}
\mathrm{Z}=\mathrm{N}+ & \mathrm{P} \\
& \mathrm{P}=\underset{\substack{\text { OL poles in RHP } \\
(0 \text { if } \text { open-loop stable) }}}{ }
\end{aligned}
$$

( $\mathbf{P}$ cannot be negative)
$\mathrm{N}=\mathrm{CW}$ encirclements of -1
CL System CANNOT be stable if $\mathbf{N}>\mathbf{0}$
$\mathrm{Z}=\mathrm{CL}$ poles in RHP
(must be zero (or $\leq 0$ ) if closed-loop stable)

## ECE 3510 Nyquist Examples

Example 1, Bodson, section $5.2 \quad \mathrm{k}:=1$

$$
\mathbf{P}(s):=\frac{1}{(s+1)}
$$

$$
\frac{1}{j \cdot \omega+1}=\frac{1}{\sqrt{\omega^{2}+1^{2}}}-\operatorname{atan}\left(\frac{\omega}{1}\right)
$$



$$
\mathbf{G}(\mathrm{s})=\mathrm{k} \cdot \mathbf{P}(\mathrm{~s})=\mathrm{k} \cdot \frac{1}{(\mathrm{~s}+1)}
$$

$$
\mathrm{k}:=2
$$


$\mathrm{k}:=10$

$\mathrm{k}:=50$




## ECE 3510 Nyquist Examples p. $2 \mathrm{k}:=1$

$$
\begin{aligned}
& \mathbf{G}(\mathrm{s}):=\frac{\mathrm{k}}{(\mathrm{~s}+1)^{2}}=\frac{1}{\mathrm{~s}^{2}+2 \cdot \mathrm{~s}+1}=\frac{1}{(\mathrm{j} \cdot \omega)^{2}+2 \cdot(\mathrm{j} \cdot \omega)+1}=\frac{1}{\left(1-\omega^{2}\right)+2 \cdot \mathrm{j} \cdot \omega} \cdot\left[\frac{\left(1-\omega^{2}\right)-2 \cdot \mathrm{j} \cdot \omega}{\left(1-\omega^{2}\right)-2 \cdot \mathrm{j} \cdot \omega}\right]=\frac{\left(1-\omega^{2}\right)-2 \cdot \mathrm{j} \cdot \omega}{\left(1-\omega^{2}\right)^{2}+(2 \cdot \mathrm{j} \cdot \omega)^{2}} \\
& \frac{1}{(j \cdot \omega+1)^{2}}=\frac{1}{\omega^{2}+1^{2}} \quad-2 \cdot \operatorname{atan}\left(\frac{\omega}{1}\right) \\
& 1-\omega^{2}=0 \\
& \omega=\sqrt{1}=1 \\
& \mathbf{G}(\sqrt{1} \cdot \mathrm{j})=-0.5 \mathrm{j} \quad-1 \\
& \mathbf{G}(\mathrm{~s})=\mathrm{k} \cdot \mathbf{P}(\mathrm{~s})=\mathrm{k} \cdot \frac{1}{(\mathrm{~s}+1)^{2}}
\end{aligned}
$$


$\mathrm{k}:=10$

$\mathrm{k}:=50$

$\mathrm{N}=0$, no matter what k is
But ringing gets worse with k
Root Locus
ECE 3510
$\mathbf{P}(\mathrm{s}):=\frac{1}{(\mathrm{~s}+1)^{3}}$

$$
\frac{1}{(j \cdot \omega+1)^{3}}=\frac{1}{\left(\sqrt{\omega^{2}+1^{2}}\right)^{3}} \quad-3 \cdot \operatorname{atan}\left(\frac{\omega}{1}\right)
$$



Find real-axis crossing (in left half plane)

$$
\begin{gathered}
\frac{180}{3}=60 \mathrm{deg} \\
\frac{1}{\left(\sqrt{\omega^{2}+1^{2}}\right)^{3}}=\frac{\tan (60 \cdot \operatorname{deg})=\sqrt{3}=\frac{\omega}{1}=\omega}{\left[\sqrt{(\sqrt{3})^{2}+1^{2}}\right]^{3}}=\frac{1}{8}
\end{gathered}
$$



$\mathbf{G}(\mathrm{s})=\mathrm{k} \cdot \mathbf{P}(\mathrm{s})$

$$
=k \cdot \frac{1}{(s+1)^{3}}
$$




$\mathrm{k}:=16$


$$
\mathrm{Z}=2
$$

2 closed-loop poles in RHP Closed-loop UNSTABLE

For: $\mathbf{G}(\mathrm{s})=\frac{1}{(\mathrm{~s}+1)^{3}} \quad$ Real-axis crossing (in left half plane) is at $1 / 8$
Gain can be 8 times bigger before $\mathrm{N}=2 \quad \mathrm{GM}:=8$

For: $\mathbf{G}(\mathrm{s})=\frac{2}{(\mathrm{~s}+1)^{3}} \quad \mathrm{GM}:=4$
For: $\mathbf{G}(\mathrm{s})=\frac{4}{(\mathrm{~s}+1)^{3}} \quad \mathrm{GM}:=2$

For: $\mathbf{G}(\mathrm{s})=\frac{20}{(\mathrm{~s}+1)^{3}} \quad \mathrm{GM}:=\frac{8}{20}=\frac{2}{5}$

## Other ways to find the same maximum gain

$$
G(s)=\frac{1}{(s+1)^{3}}
$$



By Routh-Hurwitz:
Closed-loop denominator

$$
(s+1)^{3}+k=s^{3}+3 \cdot s^{2}+3 \cdot s+1+k
$$

| $\mathrm{s}^{3}$ | 1 | 3 |
| :---: | :---: | :---: |
| $\mathrm{~s}^{2}$ | 3 | $1+\mathrm{k}$ |
| $\mathrm{s}^{1}$ | $\frac{9-1 \cdot(1+\mathrm{k})}{3}$ | $\mathrm{k}<8 \quad$same result as <br> previous page |
| $\mathrm{s}^{0}$ | $1+\mathrm{k}$ | $\mathrm{k}>-1$ |

## Nyquist plot for negative $\mathbf{k}$

(plot rotates $180^{\circ}$ around the origin)


Example 10.4, Nise 3 p. 620.
ECE 3510 Nyquist Examples p. 5
$\mathbf{G}(\mathrm{s}):=\frac{100}{(\mathrm{~s}+10)} \cdot \frac{1}{(\mathrm{~s}+3)} \cdot \frac{5}{(\mathrm{~s}+1)}=\frac{500}{(\mathrm{~s}+10) \cdot(\mathrm{s}+3) \cdot(\mathrm{s}+1)}$
$\mathbf{G}(0)=\frac{500}{10 \cdot 3 \cdot 1}=\frac{50}{3}$
$\mathbf{G}(\omega)=\frac{500}{(j \cdot \omega+10) \cdot(j \cdot \omega+3) \cdot(j \cdot \omega+1)}=\frac{500}{\left[(-1) \cdot \omega^{2}+13 \cdot j \cdot \omega+30\right] \cdot(j \cdot \omega+1)}=\frac{500}{-\omega^{3} \cdot j-\omega^{2}+13 \cdot(-1) \cdot \omega^{2}+43 \cdot j \cdot \omega+30}$

$$
=\frac{500}{\left(-14 \cdot \omega^{2}+30\right)+j \cdot\left(43 \cdot \omega-\omega^{3}\right)} \cdot \frac{\left(-14 \cdot \omega^{2}+30\right)-j \cdot\left(43 \cdot \omega-\omega^{3}\right)}{\left(-14 \cdot \omega^{2}+30\right)-j \cdot\left(43 \cdot \omega-\omega^{3}\right)}
$$

$$
=\frac{\left(-14 \cdot \omega^{2}+30\right)-j \cdot\left(43 \cdot \omega-\omega^{3}\right)}{\left(-14 \cdot \omega^{2}+30\right)^{2}+\left(43 \cdot \omega-\omega^{3}\right)^{2}}
$$



Imaginary part goes to zero
$43 \cdot \boldsymbol{\omega}-\omega^{3}=0$


## ECE 3510 Nyquist Examples p. 6

Examples of Poles on the imaginary $(\mathrm{j} \omega$ ) axis

## Single Pole at the origin

$$
\mathbf{G}(\mathrm{s}):=\frac{1}{\mathrm{~s} \cdot(\mathrm{~s}+1)}
$$



$$
\mathbf{G}(\mathrm{s}):=\frac{\mathrm{s}+1}{\mathrm{~s} \cdot(\mathrm{~s}-1)}
$$

$\operatorname{siz}:=|\mathbf{G}(\mathrm{sz})|$


Double Pole at the origin $\quad \mathbf{G}(\mathrm{s}):=\frac{(\mathrm{s}+2)}{\mathrm{s}^{2}}$


Triple Pole at the origin $\quad \mathbf{G}(\mathrm{s}):=\frac{(\mathrm{s}+1)^{2}}{\mathrm{~s}^{3}}$
$\operatorname{siz}:=\mathbf{G}(\mathrm{sz})+1000$


Poles at the $\mathbf{\pm} \mathbf{2 j} \quad G(s):=\frac{s+12}{\left(s^{2}+4\right)}$


ECE 3510 Nyquist Examples p. 7

$\mathbf{G}(\mathrm{s}):=\frac{\mathrm{s}+1}{\left(\mathrm{~s}^{2}+4\right)}$


$\mathbf{G}(\mathrm{s}):=\frac{\mathrm{s}-12}{\left(\mathrm{~s}^{2}+4\right) \cdot(\mathrm{s}+6)} \quad \mathbf{G}(0)=-0.5$


ECE 3510
Nyquist Examples p. 8

1. Similar to problem 5.4 in Bodson text.
a) The Nyquist diagram of a stable system is shown below (or in text), with the overall diagram shown on the left and the detail around the ( $-1,0$ ) point shown on the right. The solid line corresponds to $\omega>0$, with the arrow giving the direction of increasing $\omega$. The dashed line is the symmetric curve obtained for $\omega<0$. Assuming that the transfer function of the system is multiplied by a gain $k>0$, what is the set of values of $k$ for which the system is stable in closed-loop?


b) Repeat part (a) for the system whose Nyquist curve is shown at below (or in text), given that the system has one unstable pole.

a) The Nyquist diagram for $\mathrm{P}(\mathrm{s})=5(\mathrm{~s}+2) /(\mathrm{s}+1)^{3}$ is shown below (or in text), with the overall diagram shown on the left and the detail around the $(-1,0)$ point shown on the right. Indicate what the gain margin and the phase margins are (for the phase margin, show work on the drawing below). Compare the gain margin results with those predicted by a root-locus plot or the Routh-Hurwitz criterion.


b) Repeat part (a) for $\mathrm{P}(\mathrm{s})=2(\mathrm{~s}+5) /(\mathrm{s}+1)^{3}$ and the diagrams shown below.



## Answers

1. a) $\mathrm{k}<0.435$ or $\mathrm{k}>5$
b) $\frac{4}{3}<k<2$
2. a) $\mathrm{GM}=\infty \quad \mathrm{PM}=30 \cdot \mathrm{deg}$
b) $\mathrm{GM}=2 \quad \mathrm{PM}=12.2 \cdot \mathrm{deg}$

Name: $\qquad$ ECE 3510 homework Nq2
Due: Tue, 4/20/21

1. For problem Nq1, 2a (5.5 in Bodson text): $\mathbf{P}(s)=\frac{5 \cdot(s+2)}{(s+1)^{3}}$
a) Find the DC gain $(\mathrm{s}=0=\omega)$ from the transfer function and compare it to the $\omega=0$ point on the Nyquist diagram.
b) Find the final value ( $\omega=\infty$ ) from the transfer function and compare it to the $\omega=\infty$ point on the Nyquist diagram.
c) Find the approach angle to the final value $(\omega=\infty)$ from the transfer function and compare to the Nyquist diagram.
d) Reproduce the Nyquist diagram (left drawing). If you do this by hand, find and plot at least 3 more points (besides $a \& b$, above) which will show the shape of the curve. You may also plot this diagram using a computer program of your choice.


## ECE 3510 homework Nq2 p. 2

2. Problem 5.9 b-d in Bodson the text.
b) Indicate whether the system whose Nyquist curve is shown is closed-loop stable, given that it is open-loop stable.

c) What are the values of the gain $\mathrm{g}>0$ by which the open-loop transfer function of part (b) may be multiplied, with the closed-loop system being stable?
d) Sketch an example of a Nyquist curve for a system which has three unstable open-loop poles, and which is closed-loop stable.


## ECE 3510 homework Nq2 p. 3

3. Problem 5.13 in the text.
a) Consider the Nyquist diagram of a transfer function $\mathbf{G}(\mathrm{s})$ shown at right. Only the portion for $\omega>0$ is plotted.

Assume that $\mathbf{G}(\mathrm{s})$ has no poles in the open right-half plane, and that a gain K is cascaded with $\mathbf{G}(\mathrm{s})$. Find the ranges of positive K for which the closed-loop system is stable.


## Answers

1. a) $\mathbf{P}(0)=10$
b) $\mathbf{P}(\infty)=0$
C) $-180 \cdot \mathrm{deg}$
d) Extra points shown are for
$\mathrm{s}=0.2 \mathrm{j}$,
$\mathrm{s}=0.5 \mathrm{j}$,
$\mathrm{s}=1 \mathrm{j}$,
and $\mathrm{s}=2 \mathrm{j}$

$\begin{array}{lllll}\text { 2. b) yes } & \text { c) } 0<g<\frac{1}{3} \quad, \frac{1}{2}<\mathrm{g}<\frac{3}{2} & \text { or } \mathrm{g}>3 & \text { d) Need } 3 \text { CCW encirclements of }-1\end{array}$
2. $\mathrm{k}<\frac{1}{2} \quad, \frac{2}{3}<\mathrm{k}<2$
3. a) yes
b) $\mathrm{GM} \simeq 2(6 \cdot \mathrm{~dB})$
$P M \simeq 90 \cdot \mathrm{deg}$
c) 4
d) $4,3 \cdot \cos (t-90 \cdot \operatorname{deg}) \quad,-2 \cdot \cos (5 \cdot t)$
e) $\frac{4}{3} \quad, \quad \frac{3 \cdot \sqrt{2}}{2} \cdot \cos (\mathrm{t}-45 \cdot \mathrm{deg}) \quad, \quad-4 \cdot \cos (5 \cdot \mathrm{t})$

ECE 3510 homework Nq2 p. 3 Turn Over, More on Next Page ========>>>

## ECE 3510 homework Nq2 p. 4

4. Problem 5.11 in the text.

All parts of this problem refer to the system whose Nyquist curve is shown at right (only the portion for $\omega>0$ is plotted). Recall that the Nyquist curve represents the frequency response of the open-loop system, or $\mathbf{G}(\mathrm{j} \omega)$. If $\mathbf{G}(\mathrm{s})$ is the open-loop transfer function. The closed-loop transfer function is $\mathbf{G}(\mathrm{s}) /(1+\mathbf{G}(\mathrm{s}))$.
a) Knowing that the closed-loop system is stable, can one say for sure that the open-loop system is stable?

b) Given the closed-loop system is stable, estimate the gain margin and the phase margin of the closed-loop system.
c) How many unstable poles does the closed-loop system have if the open-loop gain is multiplied by 5 ?
d) Give the steady-state response yss(t) of the open-loop system to an input $x(t)=2$.

Repeat for $\mathrm{x}(\mathrm{t})=3 \cos (\mathrm{t})$
and $x(t)=4 \cos (5 t)$.
e) Repeat part (d) for the closed-loop system.

Repeat part (d) for the closed-loop system.
Hint: remember that the output of the closed-loop system is input• $\frac{\mathbf{G}(\mathrm{s})}{1+\mathbf{G}(\mathrm{s})}$

$$
x(t)=3 \cos (t)
$$

$x(t)=4 \cos (5 t)$.

